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November 15, 2000

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OUR REF: 884.267US1

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FAX NUMBER <u>703-308-7751</u>

* Please deliver to Shawn Hill *

Document(s) Transmitted: Copy of Declaration and Power of Attorney filed in the present application, copy of returned postcard from the PTO for this application.

Total pages of this transmission, including cover letter: 5 pgs If you do NOT receive all of the pages described above, please telephone us at 612-373-6900, or fax us at 612-339-3061.

In re. Patent Application of: Kishore K. Chaktavorty

Examiner: Unknown

Serial No.: 09/628,705

Group Art Unit: Unknown

Filed: July 31, 2000

Docket No : 884.267US1

Title: <u>ELECTRONIC ASSEMBLY COMPRISING INTERPOSER WITH EMBEDDED</u>

CAPACITORS AND METHODS OF MANUFACTURE

Please contact me at 612/371-2117 to confirm receipt of this fax. Thank you for your assistance.

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

in to Patent Application of: Kishore K. Chakravorty
Title: ELECTRONIC ASSEMBLY COMPRISING INTERPOSER WITH EMBEDDED

CAPACITORS AND METHODS OF MANUFACTURE

Attorney Docket No.: 884.267US1

r Receipt is hereby acknowledged for the fol g in the United States Patent and Trademark

UTILITY PATENT APPLICATION: Specification (25 pgs, including CONTENTS: claims numbered 1 through 30 and a 1 page Abstract); Formal Drawing(s) (18 sheets); Signed Combined Declaration and Power of Attorney (3 pgs); Check in the amount of \$1.104.00 to pay the filing fee; Assignment of the invention to Intel Corneration (2 pgs) and Recordation Form Cover Sheet; Check in the amount of \$40.00 to pay the Assignment recording fee: Information Disclosure Statement (1 pgs); Form 1449 (2 pgs), and copies of cited references (16) (return postcard and transmittal sheet.

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Attente Docker No. 884,267US1

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United States Patent Application COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as

I verily believe I am the original, first and sole inventor of the subject matter which is claimed and for which stated below next to my name; that a patent is sought on the invention entitled: ELECTRONIC ASSEMBLY COMPRISING INTERPOSER WITH EMBEDDED CAPACITORS AND METHODS OF MANUFACTURE.

The specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. §1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, of 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCI international filing date of this application:

No such claim for priority is being made at this time.

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ואובר אוויף הארבור



r. 42142 שמאט כסו שמא

Page 2 of 3

electronic assembly comprising interposer with embedded capacitors and methods of manufacture Attorney Docker No.: \$84.267US1 Filing Dear Even Date Herewith

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

1. 40.670 Parker, J. Kevin 2. 41,105 Persok, Monique M. Ref. No. 42,989 2. 24,017 Prout, William F. Reg. No. 33,995 2. 40,052 Reynolds, Thomas C. Reg. No. 32,448 2. 32,146 Schwarzen, Machael L. Reg. No. 39,422 2. 41,136 Schwarzen, Michael L. Reg. No. 25,816 2. 44,855 Sreat, Jahn C. Reg. No. 38,613 2. 44,855 Sreat, John C. Reg. No. 43,105 2. 36,198 Scholon, Remech M. Reg. No. 43,105
9. 41, 5, 24, 5, 40, 9. 32, 6, 41,

I hereby authorize them to set and rely to instructions from and communicate directly with the person/assignce/authory/ firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwogman, Lundberg, Woessner & Khith, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woesmer & Kluth, F.A. at the address indicated below: P.O. Box 2938, Minneapolis, MN 55402 Telephone No. (612)373-6900

I hereby declars that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are pemishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful faise materials may jeopardize the validity of the application or any patent issued thereon. Kishore K. Chakrayorty Pull Name of sole inventor: Residence: San Jose, CA United States of America Cirizenship: 6407 Berkerickshire Way Post Office Address: Seq Jose, CA 95120

Date: Signature. Full Name of inventor. Residence: Citizenthip: Post Office Address: Date: Signature:

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argenty docimen No.: 854.267USI Electronic assembly comprising interposer with embedded capacitors and methods of manufacture filing Dies 8-44 Die Harvich Page 3 of 3

- § 1.56 Duty to disclose information material to patentability.
- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being cuamined, the Office is aware of and evaluates the trachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of cander and pood with in dealing with the Office, which includes a duty in disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty as disclose all information known to be material to patentability is deemed in be satisfied if all information known to be material to patentability of any claim issued in a patent was cheed by the Office or submitted to the Office in the manner prescribed by \$§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fland on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional rule conduct. The Office accountages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not sumulative to information abready of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prime facin case of unpatentability of a claim; or
 - (2) It relutes, or is inconsistent with a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting on argument of patentability.

A prime facio case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the proponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (I) Each inventor named in the application:
 - (2) Each attorney or agent who preparet or protecutes the application; and
 - (1) EVEY OTHE PERSON Who is substantively involved in the preparation on prospection of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by displosing information to the attorney, agent, or inventor.